Concurrent Programming Introduction and Advice

- Read the Birrell paper
  - excellent introductory paper
  - promotes understanding the material
  - abstract content with direct application
    - limited and rather outdated concrete technical content
- I will concentrate on Java here, but the same applies to other systems

Concurrent Programming

- Most thread programming nowadays is monitor-style programming (e.g., Java threads, PThreads, threads in OS kernels)
- Monitor style programming has two components:
  - locks/mutexes (`lock`)
  - condition variables (`wait`, `signal`, `broadcast`)
- Mapping of abstract to concrete:
  - Java:
    - `lock` -> `synchronized`
    - `wait` -> `wait`
    - `signal` -> `notify`
    - `broadcast` -> `notifyAll`
  - PThreads:
    - `lock` -> `pthread_mutex_lock` ... `pthread_mutex_unlock`
    - `wait`, `signal`, `broadcast` -> `pthread_cond_{wait,signal,broadcast}`

Different Models

- In Java every object can be a mutex/condition variable
  - better way to think of it: every object is *associated* with a unique mutex and condition variable
- In other systems you need to explicitly create mutex/condition variables
  - E.g.,
    `Mutex m; ... Lock(m) { ... }`
- Thread creation is mostly uninteresting
  - in Java: threads are instances of class `Thread`, they begin execution when their `start` method is called

Mutex Example

```
class List {
 public synchronized int insert(int i)
 { [BODY] }
}
```

same as

```
class List {
 public int insert(int i)
 { synchronized(this) [BODY] }
}
```

- Mutexes are used to control access to shared data
  - only one thread can execute inside a `synchronized` clause
  - other threads who try to enter `synchronized` code, are blocked until the mutex is unlocked

Condition Variables

- Condition variables are used to wait for specific events (especially for long waits)
  - free memory is getting low, wake up the garbage collector thread
  - 10,000 clock ticks have ellapsed, update that window
  - new data arrived in the I/O port, process it
- Each condition variable is associated with a single mutex
  - In Java, each mutex is also associated with a single condition (ugly, ugly, ugly!)
- `wait` *atomically* unlocks the mutex (as many times as needed) and blocks the thread
- `notify` awakes some blocked thread
  - the thread is awoken inside `wait`
  - tries to lock the mutex (maybe many times)
  - when it (finally) succeeds, it returns from the `wait`

Condition Variable Example

```
class Buffer {
  Port port;
  public synchronized void consume() {
    while (port.empty())
      wait();
    process_data(port.first_data());
  }

  public synchronized void produce() {
    port.add_data();
    notify();
  }
}
```

Use of Mutexes and Condition Variables

- We'll talk about programming suggestions, common errors, and good idioms
- Advice: read examples in paper and absorb at your own pace

Deadlocks

Examples:

- A locks M1, B locks M2, A blocks on M2, B blocks on M1
- Similar examples with condition variables and mutexes

Techniques for avoiding deadlocks:

- Fine grained locking
- Two-phase locking: acquire all the locks you'll ever need up front, release all locks if you fail to acquire any one
  - very good technique for some applications, but generally too restrictive
- Order locks and acquire them in order (e.g., all threads first acquire M1, then M2)

Using Condition Variables

Recall our example:

```
class Buffer {
  Port port;
  public synchronized void consume() {
    while (port.empty())
      wait();
    process_data(port.first_data());
  }

  public synchronized void produce() {
    port.add_data();
    notifyAll();
  }
}
```

Why use `while` instead of `if`? (think of many consumers, simplicity of coding producer)

- `notifyAll` is then safe to use in place of `notify`

The Golden Rules

- Most problems with concurrent programming are very simple oversights! People forget to access shared variables in locks, forget to signal when a condition changes, etc.
  The golden rules:
  1. Shared data should always be accessed through a single mutex (easy in Java: just make non-public in a class)
  2. Think of a boolean condition (expressed in terms of program variables) for each condition variable. Every time the value of the boolean condition may have changed, call `notifyAll` for the condition variable
     - only call `notify` when you are absolutely certain that *any and only one* waiting thread can enter the critical section
  3. Globally order locks, acquire in order in all threads

Monitor-Style Programming

- Armed with mutexes and condition variables, you can implement *any* kind of critical section
  `CS.enter(); [controlled code] CS.exit();`
- General pattern:

```
class CS {
  [shared data]
  public synchronized void enter() {
    while (![condition])
      wait();
    [change shared data
     to reflect in_CS]
    [notify as needed]
  }

  public synchronized void exit() {
    [change shared data
     to reflect out_of_CS]
    [notify as needed]
  }
}
```


Example: Readers/Writers Locking

```
class RWLock {
  int readers;
  public RWLock() { readers = 0; }
  public synchronized void enter_read() {
    while (readers == -1)
      wait();
    readers++;
  }
  public synchronized void exit_read() {
    readers--;
    if (readers == 0)
      notify();
  }
  public synchronized void enter_write() {
    while (readers != 0)
      wait();
    readers = -1;
  }
  public synchronized void exit_write() {
    readers = 0;
    notifyAll();
  }
}
```


Comments on Readers/Writers Example

- Invariant: `readers >= -1`
- Note the use of `notifyAll`
- Single condition variable for phase changes
  - ugly, ugly, ugly, and inefficient!
- Note that a writer signals all potential readers and one potential writer. Not all can proceed, however (*spurious wake-ups*)
- Unnecessary lock conflicts may arise (especially for multiprocessors):
  - both readers and writers signal condition variables while still holding the corresponding mutexes
  - `notifyAll` wakes up many readers that will contend for a mutex
  - can do a single `notify`, then have a reader `notify` next reader